



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: M. CHEN

Application No.: 09/866,841

Group Art Unit: 3732

Filed: May 30, 2001

Examiner: Unassigned

For: FIXATION ELEMENT INSERTION DEVICE

Attorney Docket No.: 8932-193

**SECOND INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

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DEC 12 2002

TECHNOLOGY CENTER R3700

Sir:

Pursuant to applicant's duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(h), a list of references is submitted on the enclosed substitute Form PTO-1449 entitled "List of References Cited by Applicant", which lists 6 references in reverse chronological order, copies of which are enclosed for the Examiner's convenience.

These references were cited in the International Search Report of a corresponding PCT application that was mailed to Applicants on November 14, 2002. A copy of the Search Report is also enclosed. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the substitute Form PTO-1449.

No fee is believed to be due for this submission pursuant to § 1.97(c), as the references submitted herein were cited in a communication from a foreign patent office in a foreign counterpart application within the past three months. Should any fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date December 10, 2002

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Enclosures